

# Growth of GaN bulk crystals for electronic applications

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# Outline

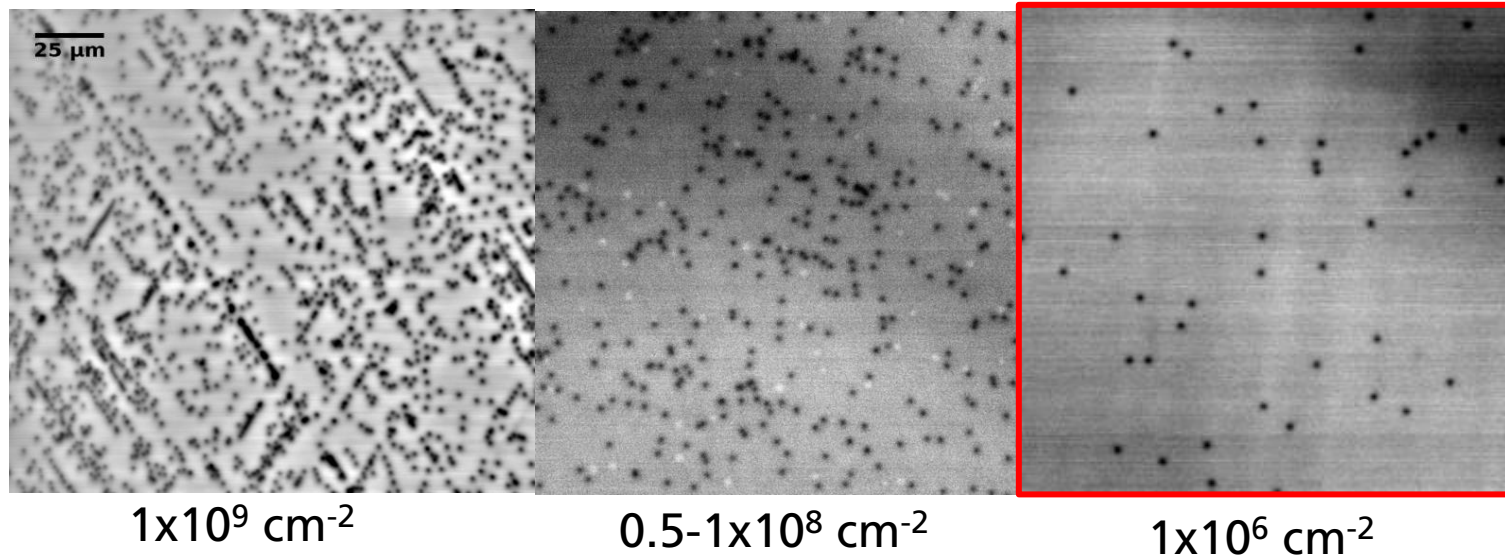
- Introduction: why we would need native nitride substrates, some knowledge of GaN crystallography and characterization methods
  - Growth techniques for GaN bulk crystals
  - Properties of the crystals and substrates
  - Properties of homoepitaxially grown electronic devices
  - Conclusion and outlook
- } → Ammonothermal growth  
→ Hydride vapor phase epitaxy (HVPE)

# Why we would need native nitride substrates?

## Heteroepitaxy of GaN:

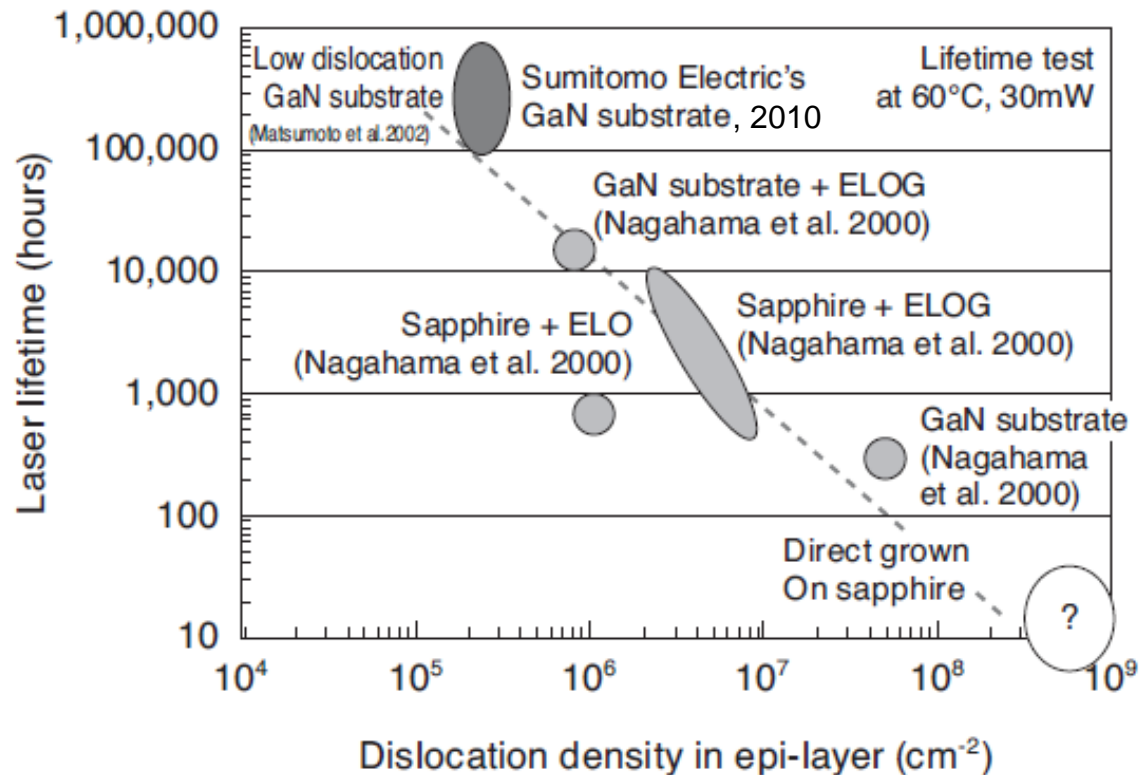
- High threading dislocation density,  $5 \cdot 10^8 \text{ cm}^{-2}$  (Si:  $\sim 0.1 - 1 \text{ cm}^{-2}$ , GaAs:  $\sim 100 \text{ cm}^{-2}$ )
- Growth stress, thermally induced stress  $\rightarrow$  substrate bow,  $\Delta T \leftrightarrow$  In incorporation
- Nevertheless, heteroepitaxial grown devices show an impressive performance (LEDs, HEMTs on Si)

(CL-dark-spot imaging)



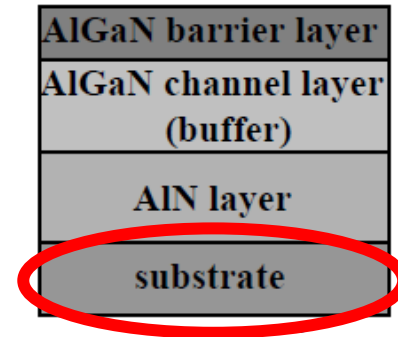
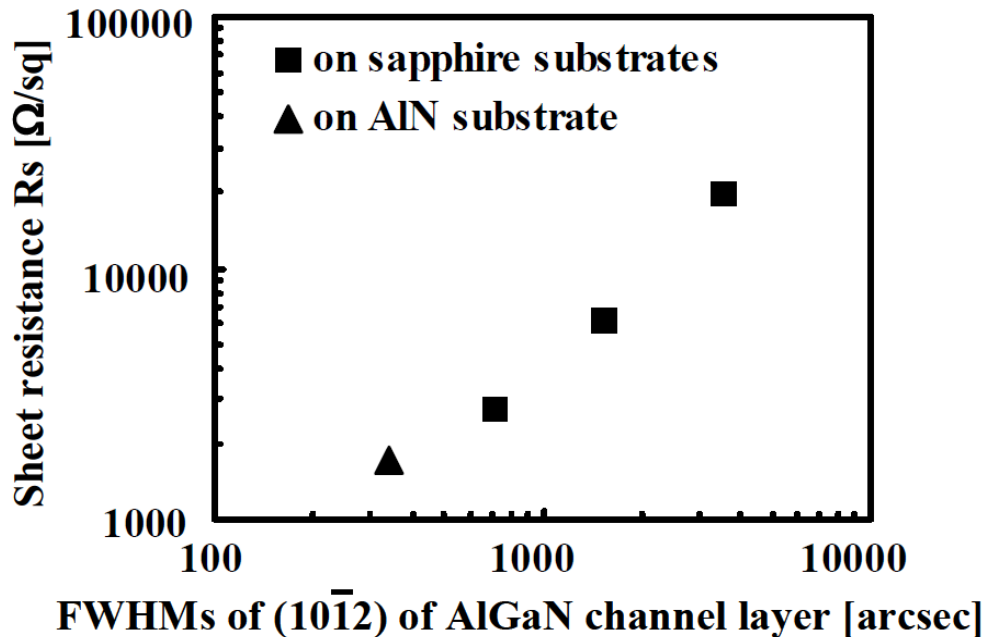
# Why we would need native nitride substrates?

- Life time of laser diodes depends strongly on total dislocation density



# Why we would need native nitride substrates?

- Reliability of transistors is affected by dislocations

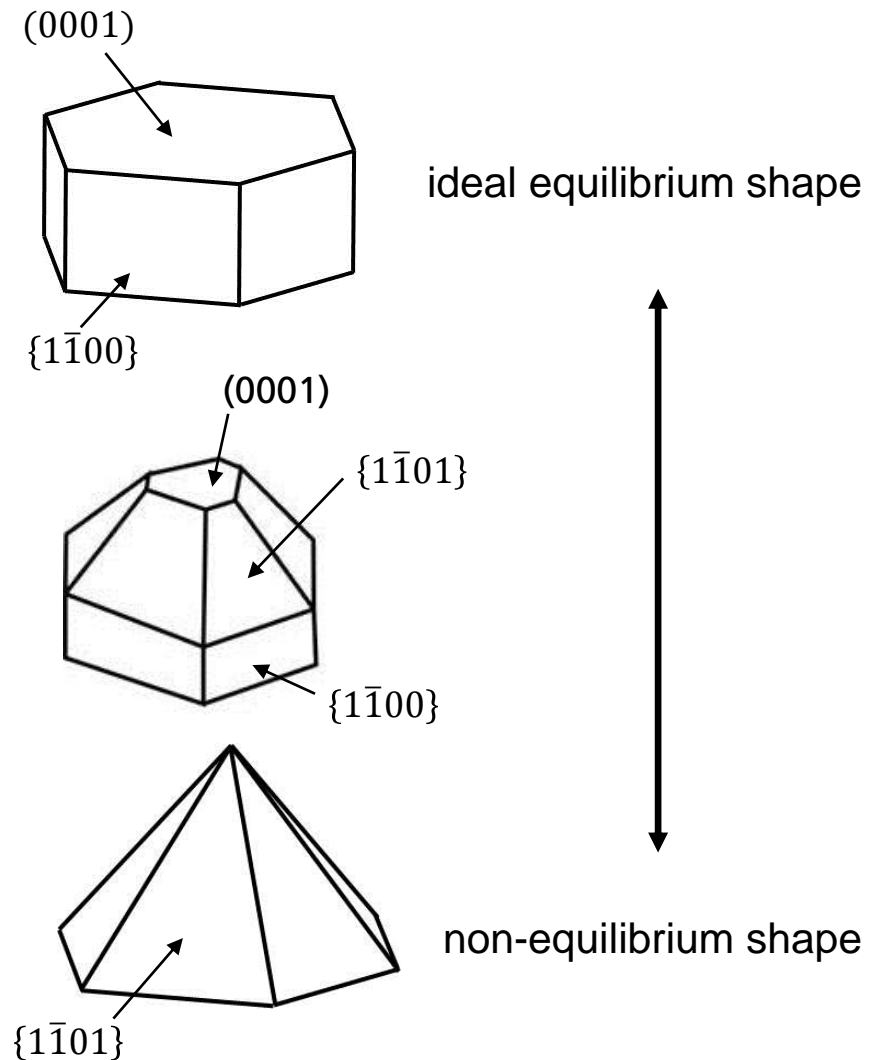
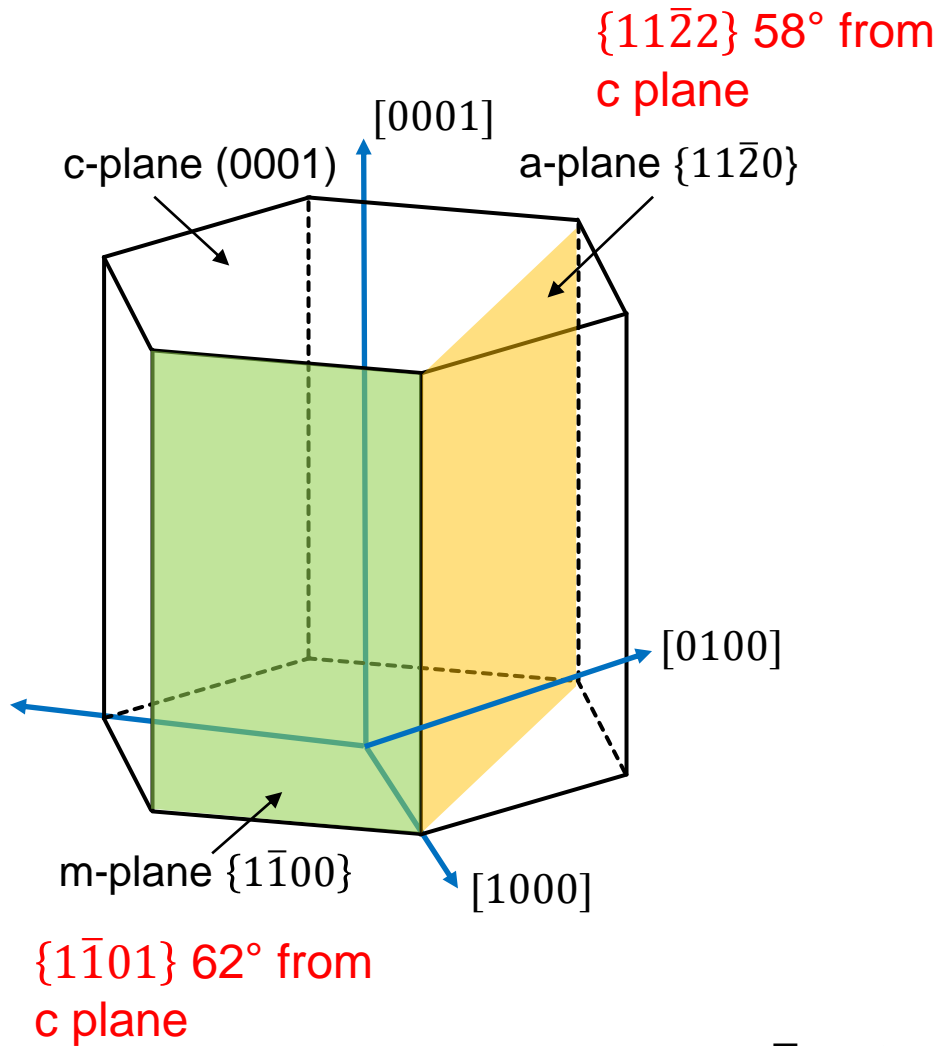


- The better the quality of the AlGaIn layer – the lower it's sheet resistance

*S. Hashimoto et al., PSS (C) 7, (2010)*

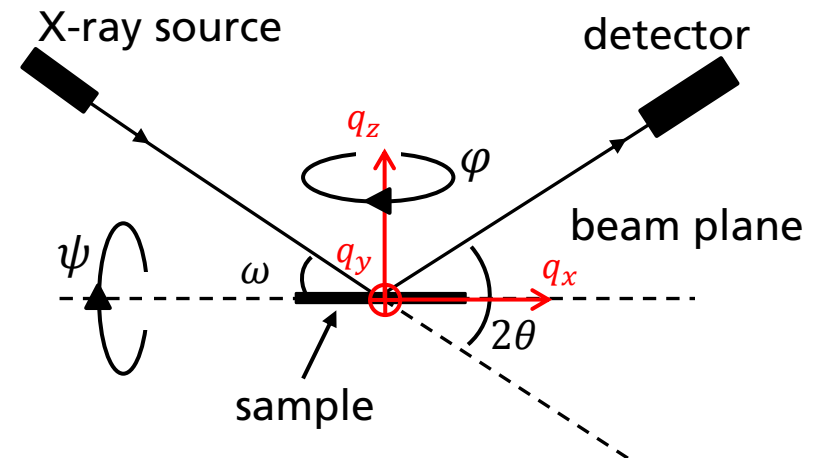
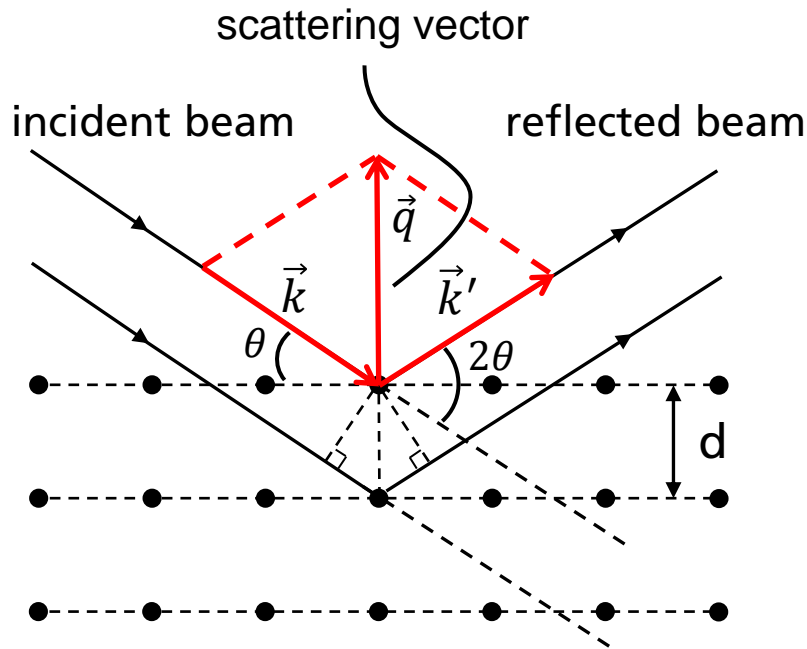
**Heteroepitaxy limits the performance of GaN based devices (higher power, reliability )**

# Facets in GaN



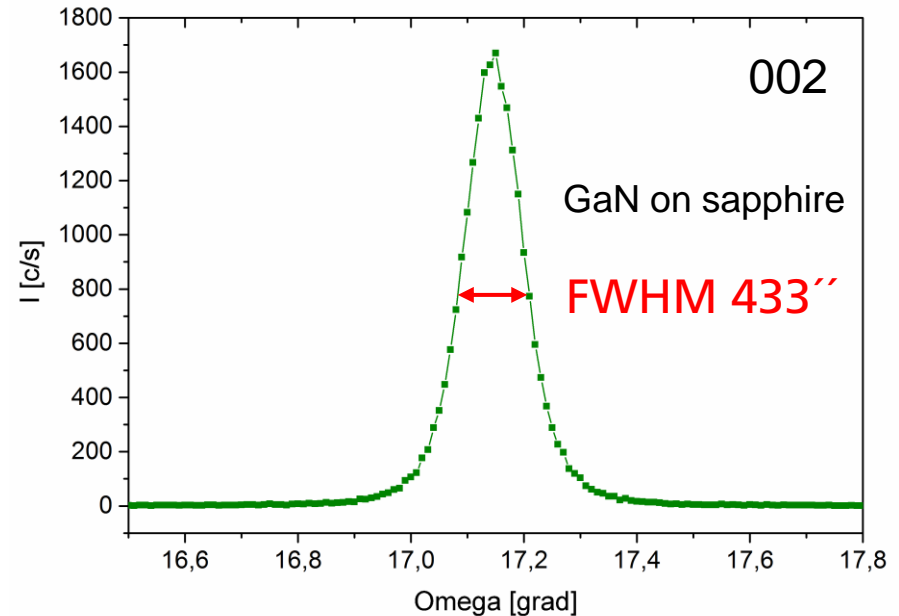
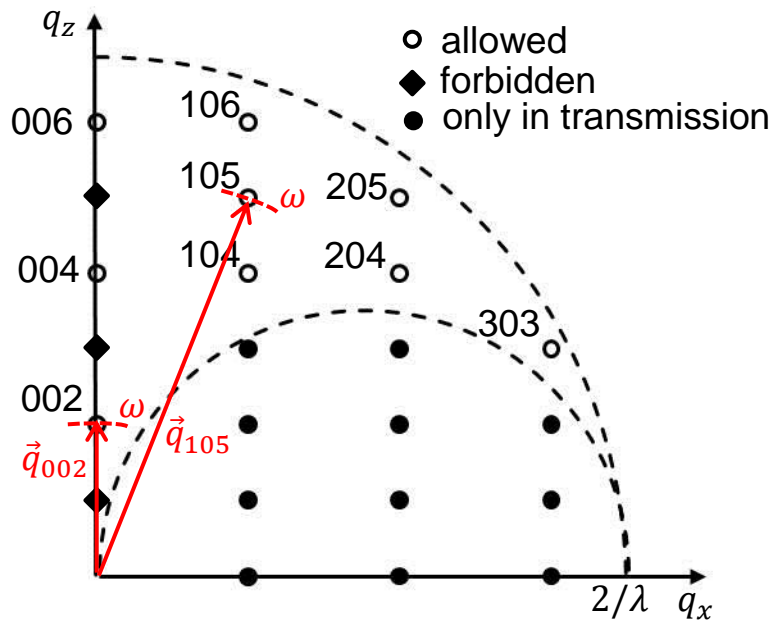
- Facets play a large role in the GaN growth

# HR X-ray diffraction: $\omega$ scan (rocking curve)



- Bragg condition:  $n \cdot \lambda = 2d \cdot \sin \theta$
- All possible lattice planes in crystal acts as 3-dimentional diffraction grating, which produced **3-dimention matrix of diffraction spots or reflexes  $\leftrightarrow \vec{q}$**
- $\omega$ -scan: variation of  $\omega$ , X-ray source and detector fixed

# HR X-ray diffraction: $\omega$ scan (rocking curve)

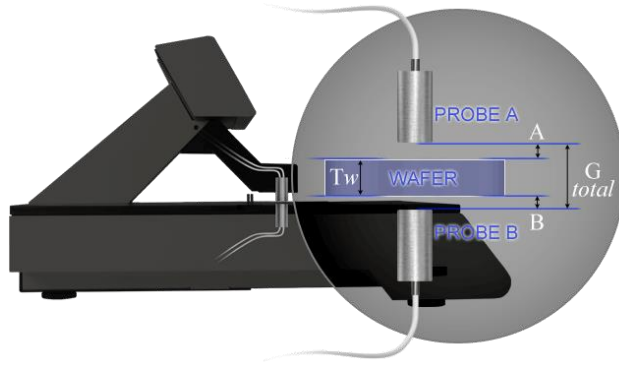


- Reflex broadening due to mosaic structure, defects, stress...
- FWHM of rocking curve is a measure for crystal quality
- Empiric formulas connected TDD and FWHM as  $\rho_{TD} \sim (FWHM)^2$
- $(002) \leftrightarrow \rho_{screw}$ , e.g.  $(302) \leftrightarrow \rho_{edge}$



# Measurement of wafer geometry

- Wafering steps: cutting/sawing of ingot, cylindrical grinding, wafer clean, flat grinding, edge shaping, lapping/grinding, clean/etch, polishing (mechanical and CMP), final cleans, dimensional measurements
- Measurement of wafer geometry (e.g. MTI Instruments): important terms

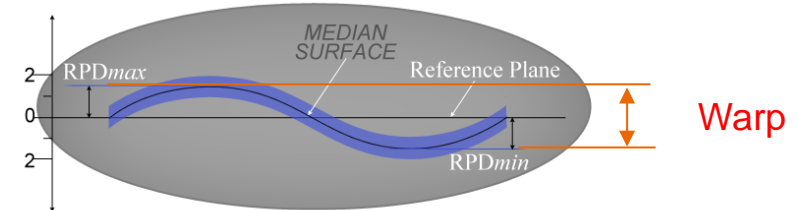
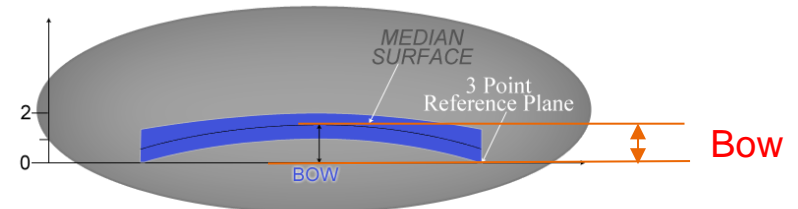


$$G_{total} = A + B + T_w$$

$T_w$  Thickness of calibration standard

$$T_w = G_{total} - (A + B)$$

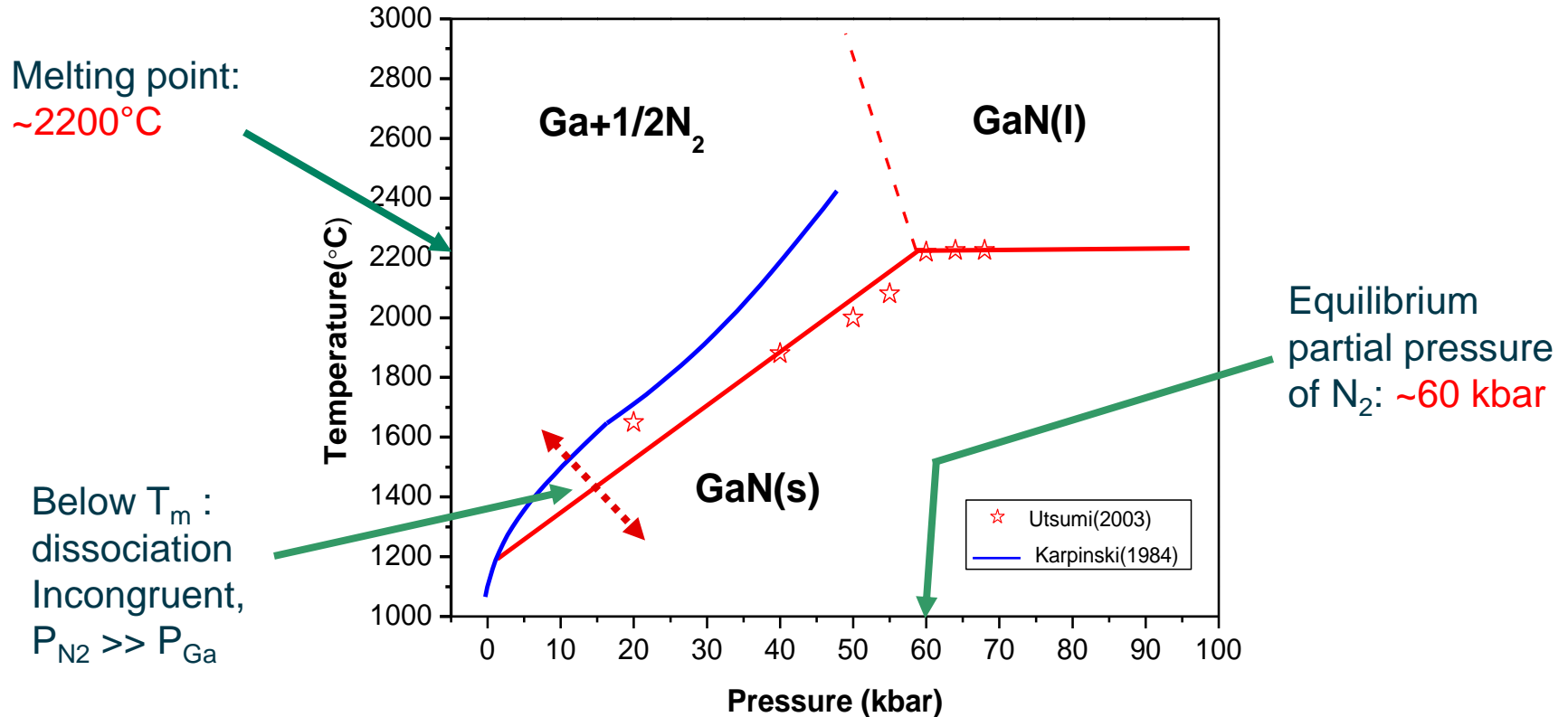
**TTV** =  $T_{max} - T_{min}$  total thickness variation



$$\text{Warp} = RPD_{max} - RPD_{min}$$

# Growth techniques for GaN bulk crystals

Phase diagram of Ga-N system



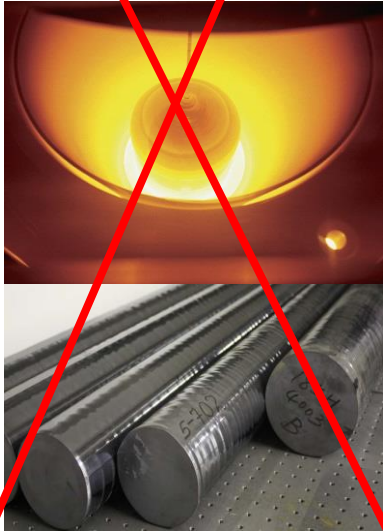
- Conventional melt growth is not possible

# Growth techniques for GaN bulk crystals

## Melt growth:

Czochralski (Si)

VGF (GaAs)



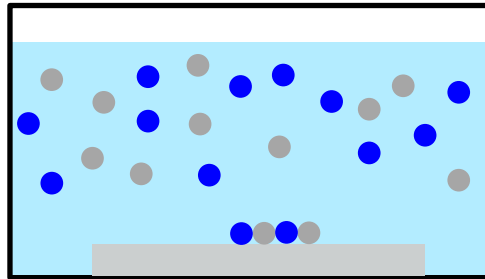
$T_{\text{solid}} < T_m < T_{\text{liquid}}$   
 $\nabla T \rightarrow \text{crystallization}$

## Solution growth:

High pressure (HP)  
solution growth

Na-Flux growth

Ammonothermal-growth

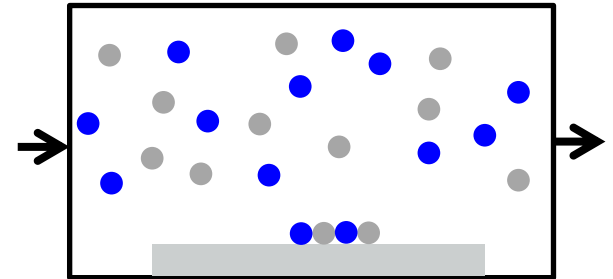


$P \gg P_{\text{atm}}$   
 $T \ll T_{\text{melt}}$   
Supersaturation in  
solution

## Gas phase growth:

Physical vapor transport (PVT) (works for SiC, AlN)

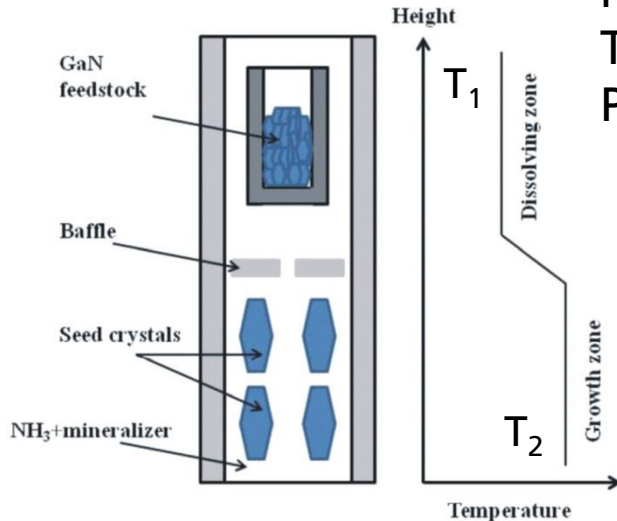
Hydride vapor phase  
epitaxy (HVPE)



$P \leq P_{\text{atm}}$   
 $T \ll T_{\text{melt}}$   
Supersaturation in  
vapor phase ( $P_p$ )

# Ammonothermal growth

Ammono-basic conditions  
(AMMONO company, Poland)

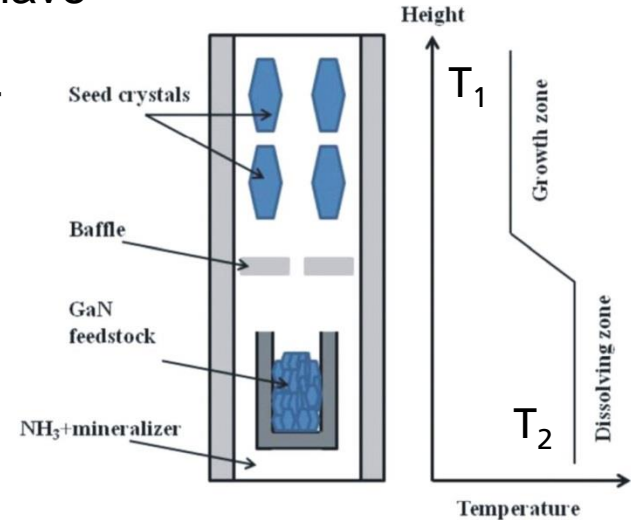


High-pressure autoclave  
 $T = 400 - 600^{\circ}\text{C}$   
 $P = 1000 - 4000 \text{ bar}$

$$T_2 > T_1$$

pure alkali metal or alkali metal amides  
( $\text{LiNH}_2$ ,  $\text{NaNH}_2$ ,  $\text{KNH}_2$ ),  $T \uparrow \rightarrow S \downarrow$

Ammono-acid conditions

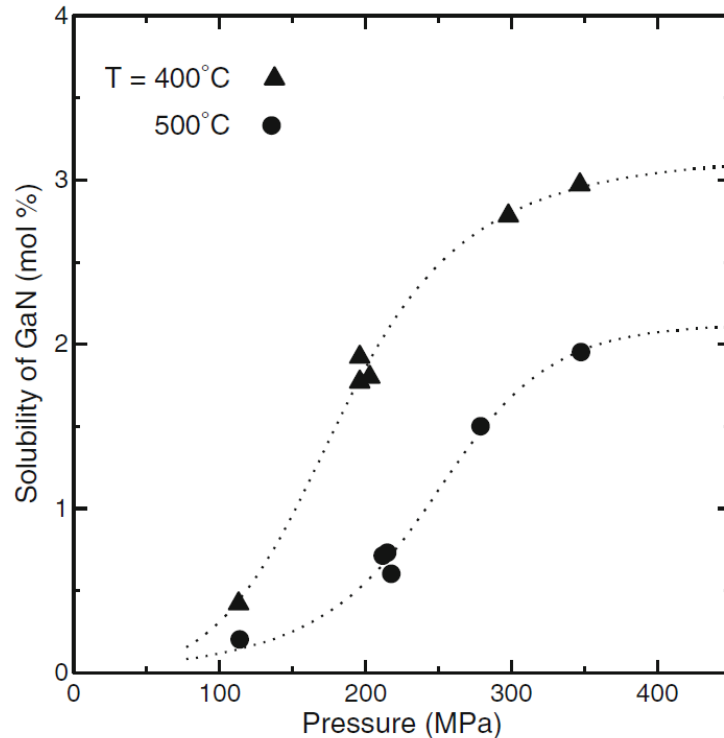


ammonium chloride  $\text{NH}_4\text{Cl}$  or  
ammonium iodide  $\text{NH}_4\text{I}$ ,  $T \downarrow \rightarrow S \downarrow$

- Reciprocal solubility of GaN at basic and acid conditions
- Formation of soluble metal amide compounds:  $\text{KNH}_2 + \text{GaN} + 2\text{NH}_3 \rightarrow \text{KGa}(\text{NH}_2)_4$
- Mass transport by convection between the growth and dissolving zone

*M. Bockowski et al., Simicond. Sci. Technol. 31 (2016) 093002*

# Ammonothermal growth: ammono-basic conditions

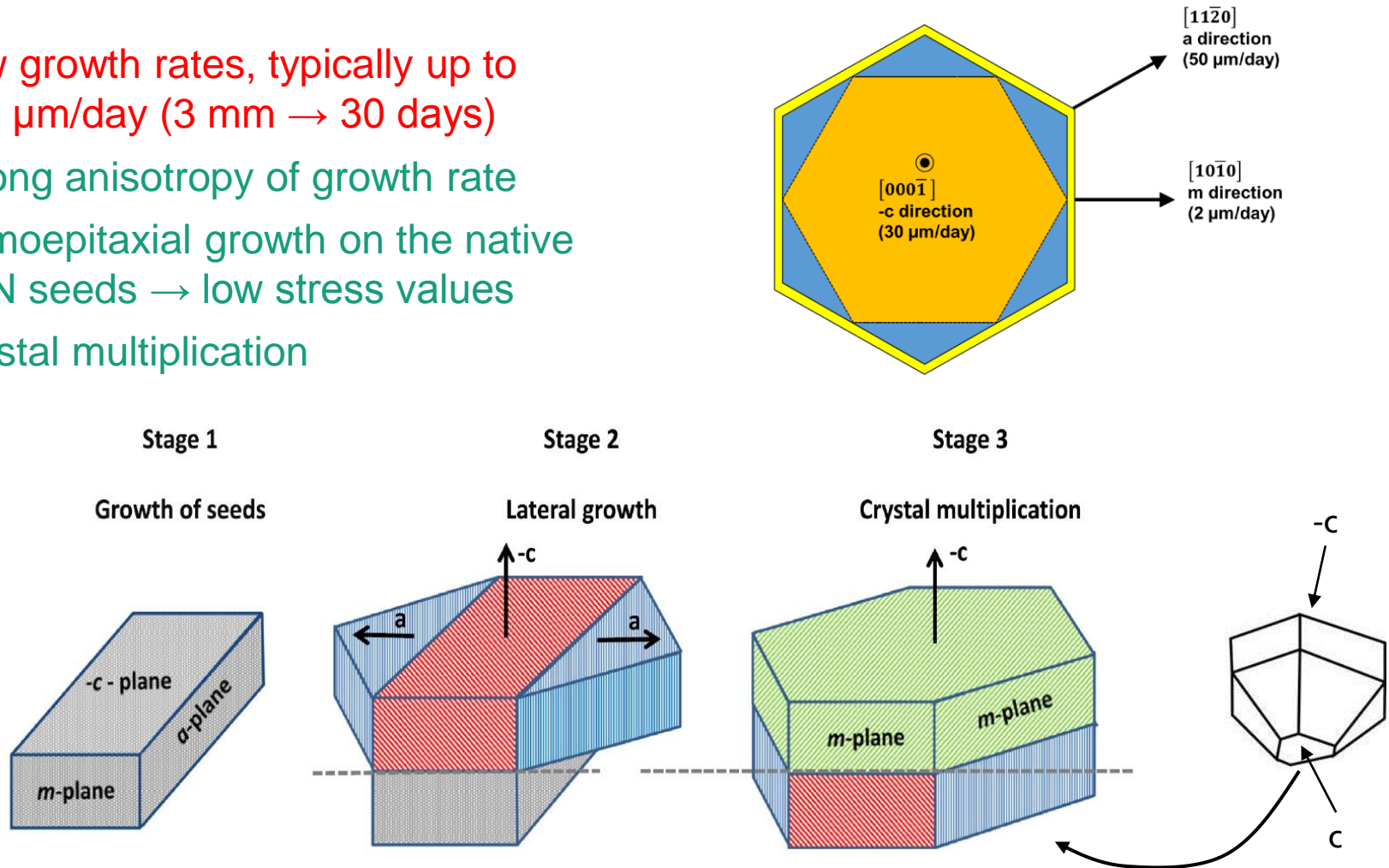


*R. Doradzinski, Ch. 7, Technology of GaN crystal growth, 2010*

- Negative temperature coefficient of solubility
- High requirements on used materials and construction, basic character of the solution is favorable for metallic autoclave materials
- Low supersaturation of the solution prevents spontaneous nucleation

# Ammonothermal growth

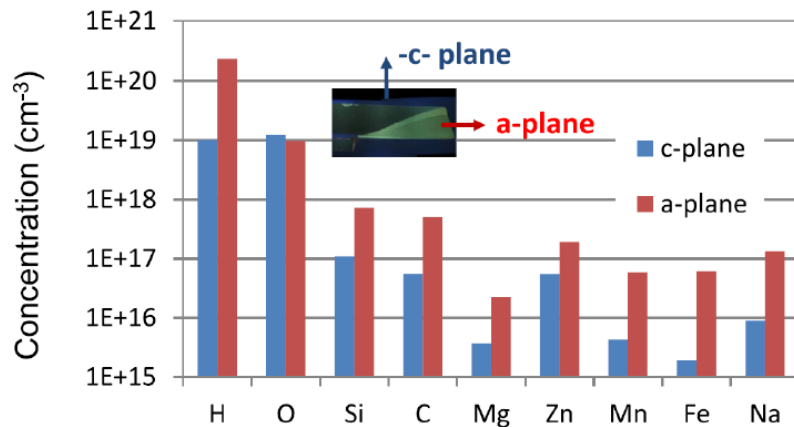
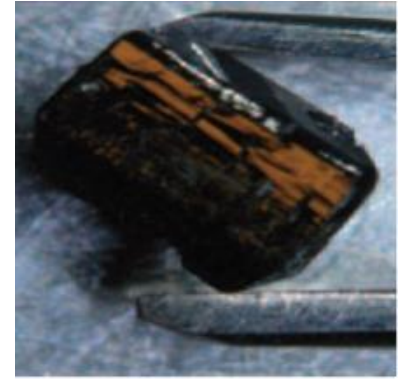
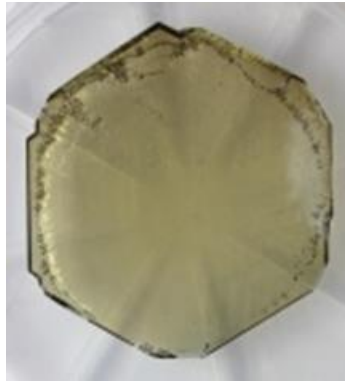
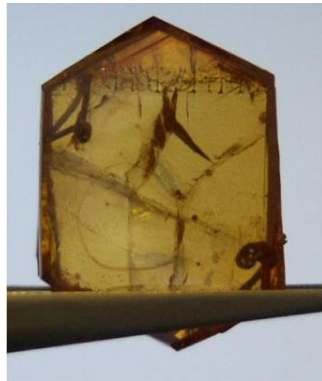
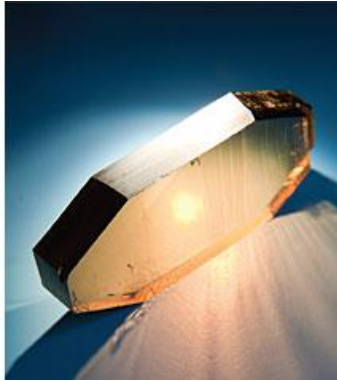
- Low growth rates, typically up to 100  $\mu\text{m}/\text{day}$  (3 mm  $\rightarrow$  30 days)
- Strong anisotropy of growth rate
- Homoepitaxial growth on the native GaN seeds  $\rightarrow$  low stress values
- Crystal multiplication



M. Zajac et al., *Progress in Crystal Growth and Characterization of Materials* 64 (2018) 63–74 6

# Ammonothermal growth

- Results of ammonothermal GaN growth is quite diverse (success probability)



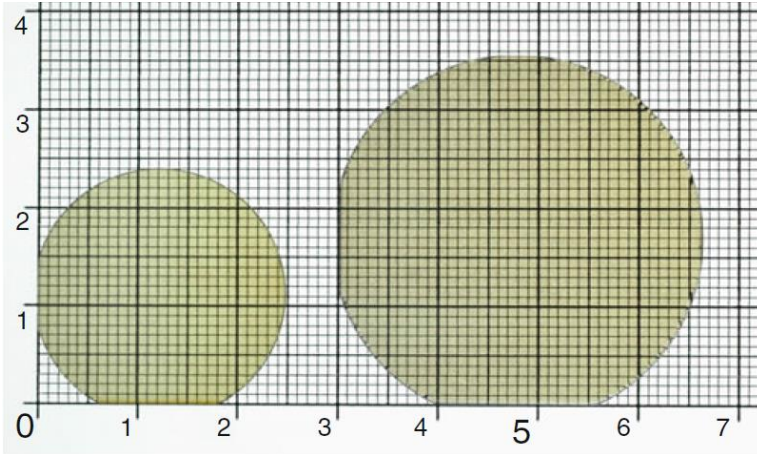
*M. Zajac et al., Progress in Crystal Growth and Characterization of Materials 64 (2018) 63–74*

- High impurity concentration
- Inhomogeneous impurity incorporation on different facets
- Diversity of optical and electrical properties and lattice constants

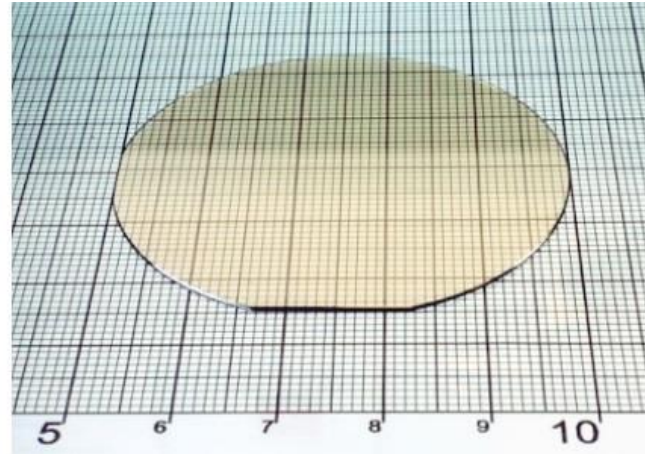


# Ammonothermal growth: substrates

2010: 1 – 1.5"



2015: 2"



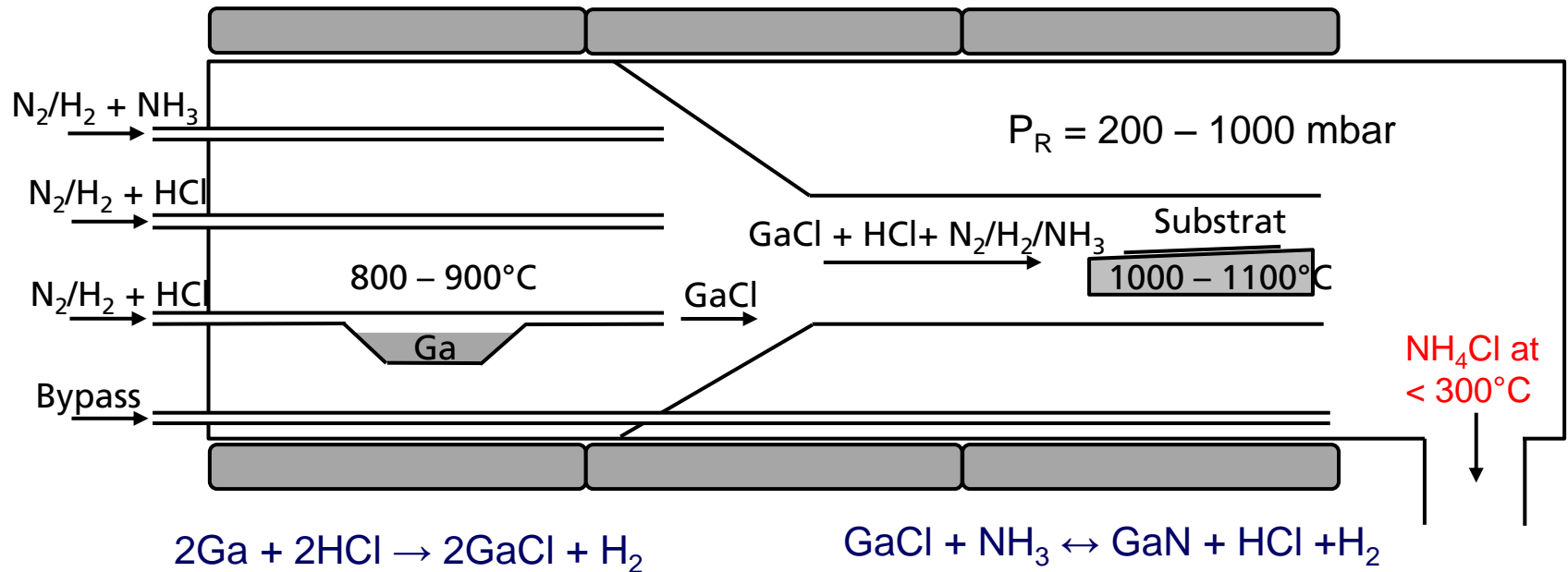
Bow < 10  $\mu\text{m}$   
TTV < 40  $\mu\text{m}$

- Structural quality is very good: FWHM of  $\omega$ -scan (rocking curve)  $\sim 20$  arcsec, dislocation density  $5 \cdot 10^4 \text{ cm}^{-2}$  (EPD)
- Lowest dislocation density of GaN compared to other growth techniques

→ The development of the method (e.g. larger crystal diameters) is very expensive and slow, availability of substrates is poor



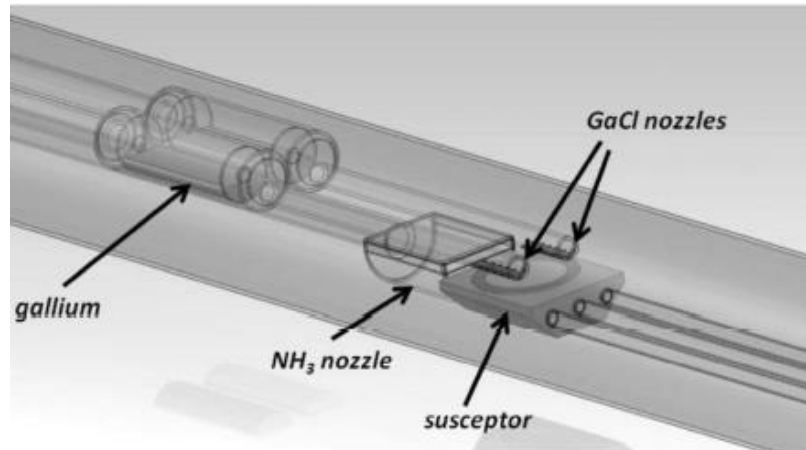
# Hydride vapor phase epitaxy (HVPE)



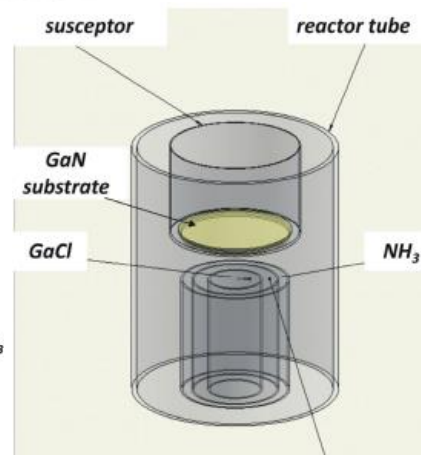
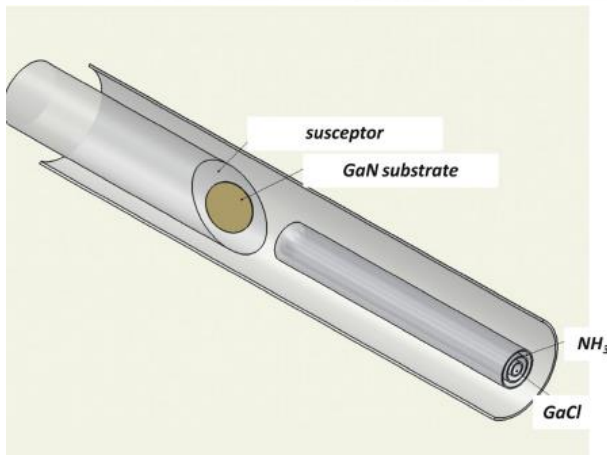
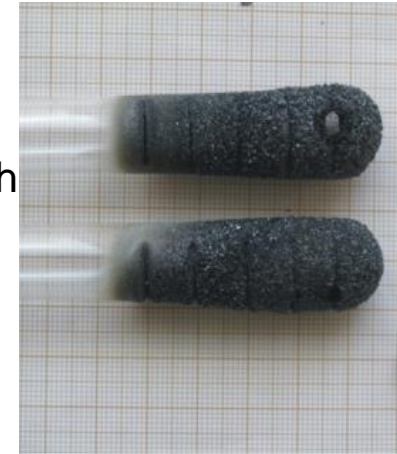
- High growth rates up to 1 mm/h (typically 100 – 200  $\mu\text{m/h}$ )
- Main process parameters:  $P_R$ ,  $T_{\text{substrate}}$ ,  $P_{\text{GaCl}}$ ,  $P_{\text{NH}_3}$ , V/III ratio,  $\text{H}_2$  ratio in carrier gas
- Decomposition of  $\text{GaN} \leftrightarrow \text{Ga} + 1/2 \text{N}_2$  at  $> 800^\circ\text{C}$  in dependence on pressure and  $\text{H}_2$  ratio in carrier gas

# HVPE: reactor design

- Parasitic growth can affect process stability and limit the process time
- Flow design strongly affect growth process (homogeneity of deposition)



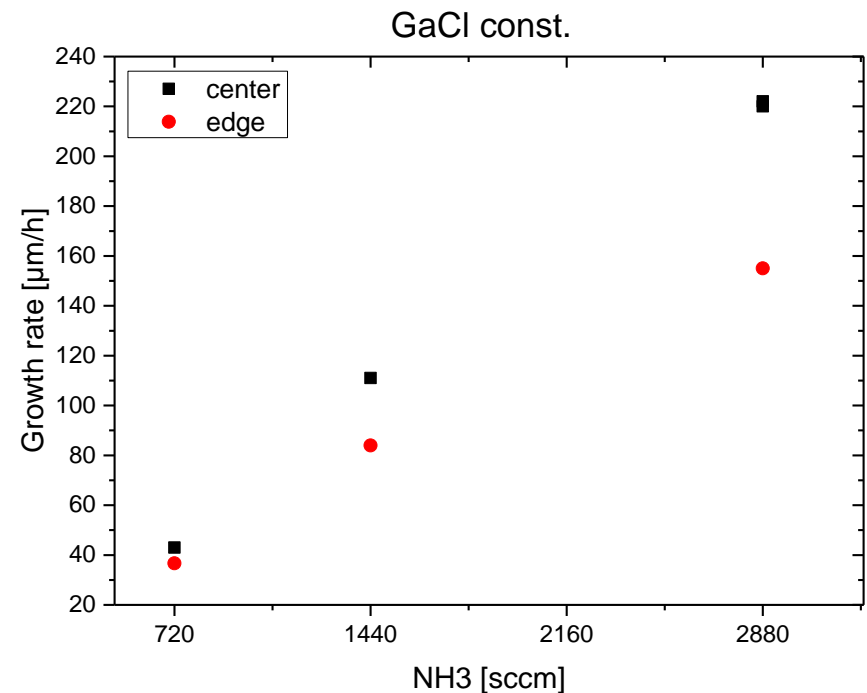
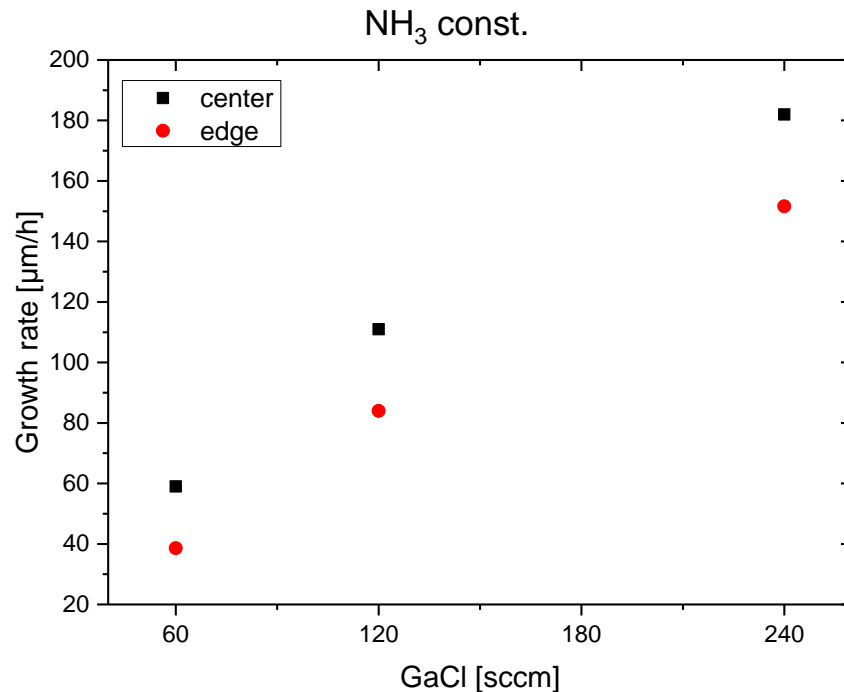
GaCl nozzles  
after 8h growth



*M. Bockowski et al., Simicond. Sci. Technol. 31 (2016) 093002*

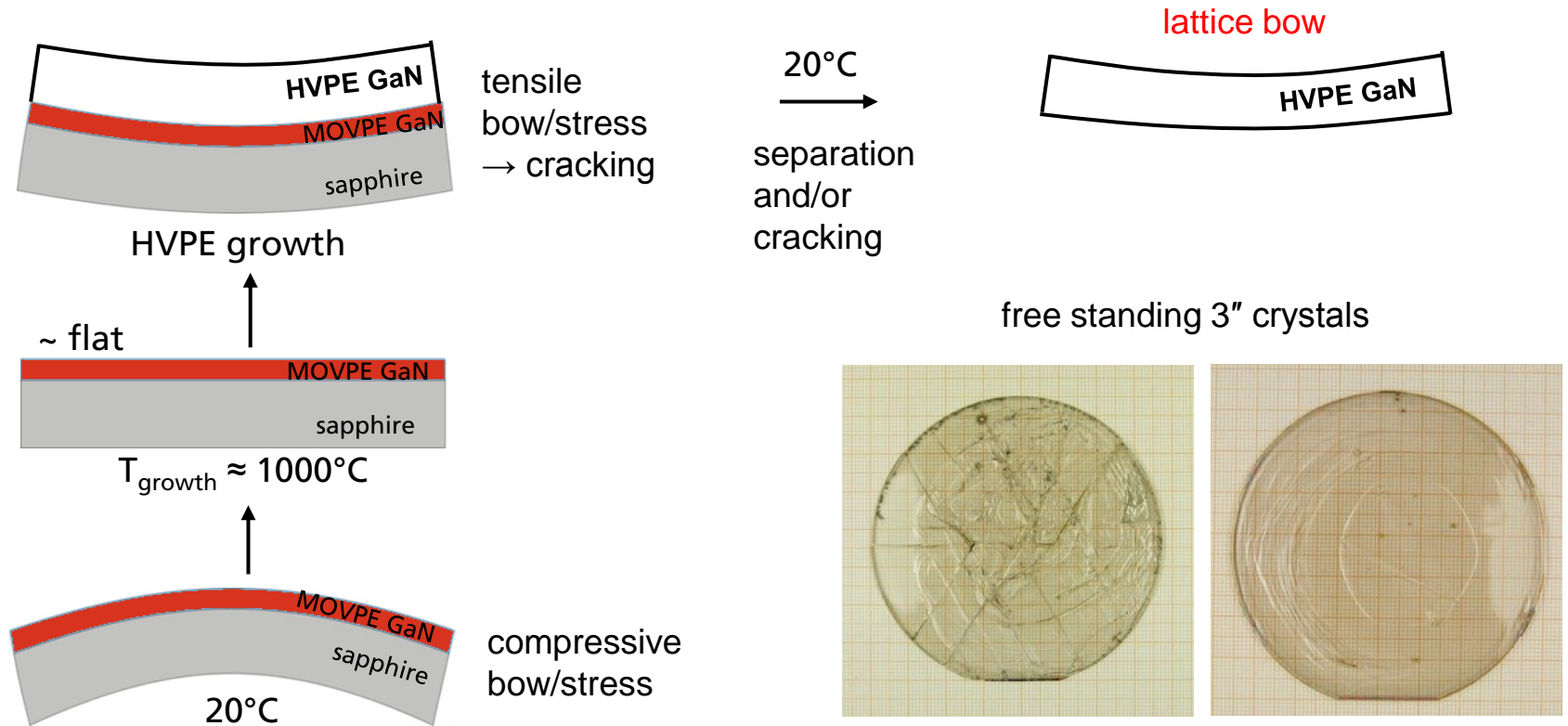
# HVPE: growth rate

- Growth rate is defined mainly by GaCl and NH<sub>3</sub> flows, but also depended on  $T_{\text{sub}}$  and H<sub>2</sub> ration due to thermal decomposition of GaN
- Radial homogeneity of the growth rate is also affected by growth parameters



vertical HVPE reactor, deposition on 3"

# HVPE: crack formation

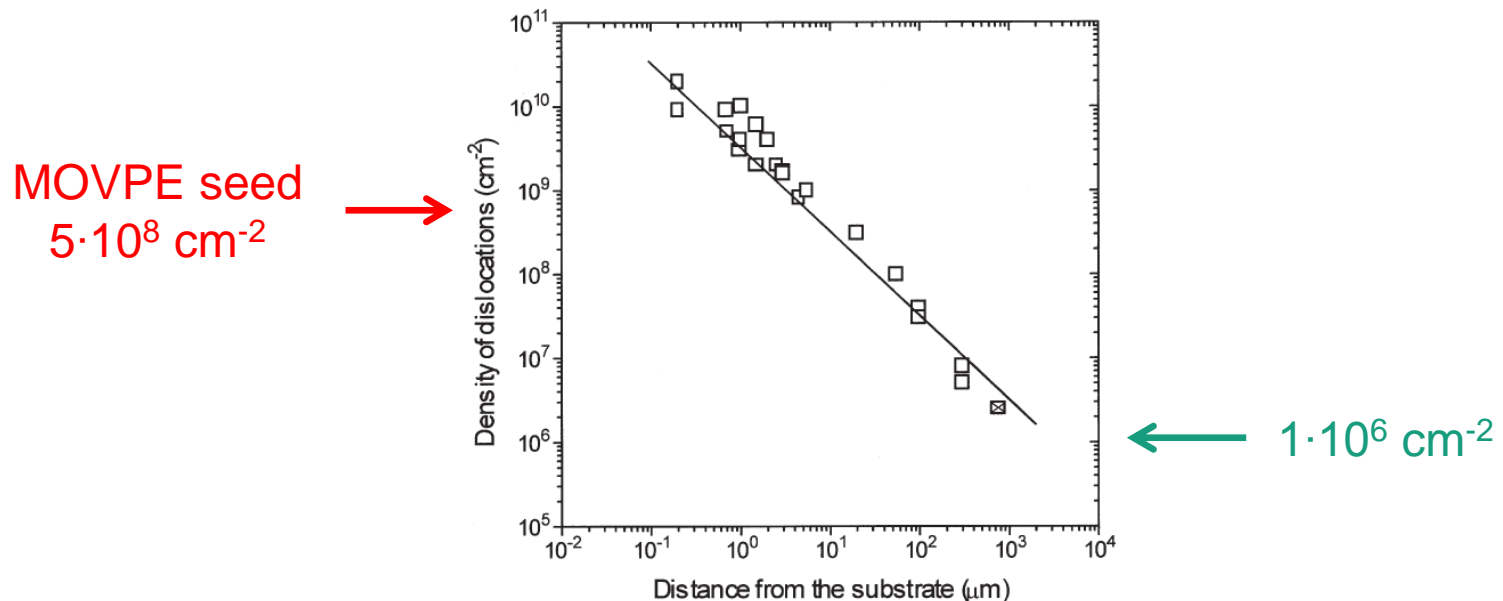


thermal expansion:  $\alpha_{\text{sapphire}} > \alpha_{\text{GaN}}$

- Free standing HVPE crystals reveal usually tensile bow at RT, which indicates the presence of tensile stress during the growth

# HVPE: threading dislocations

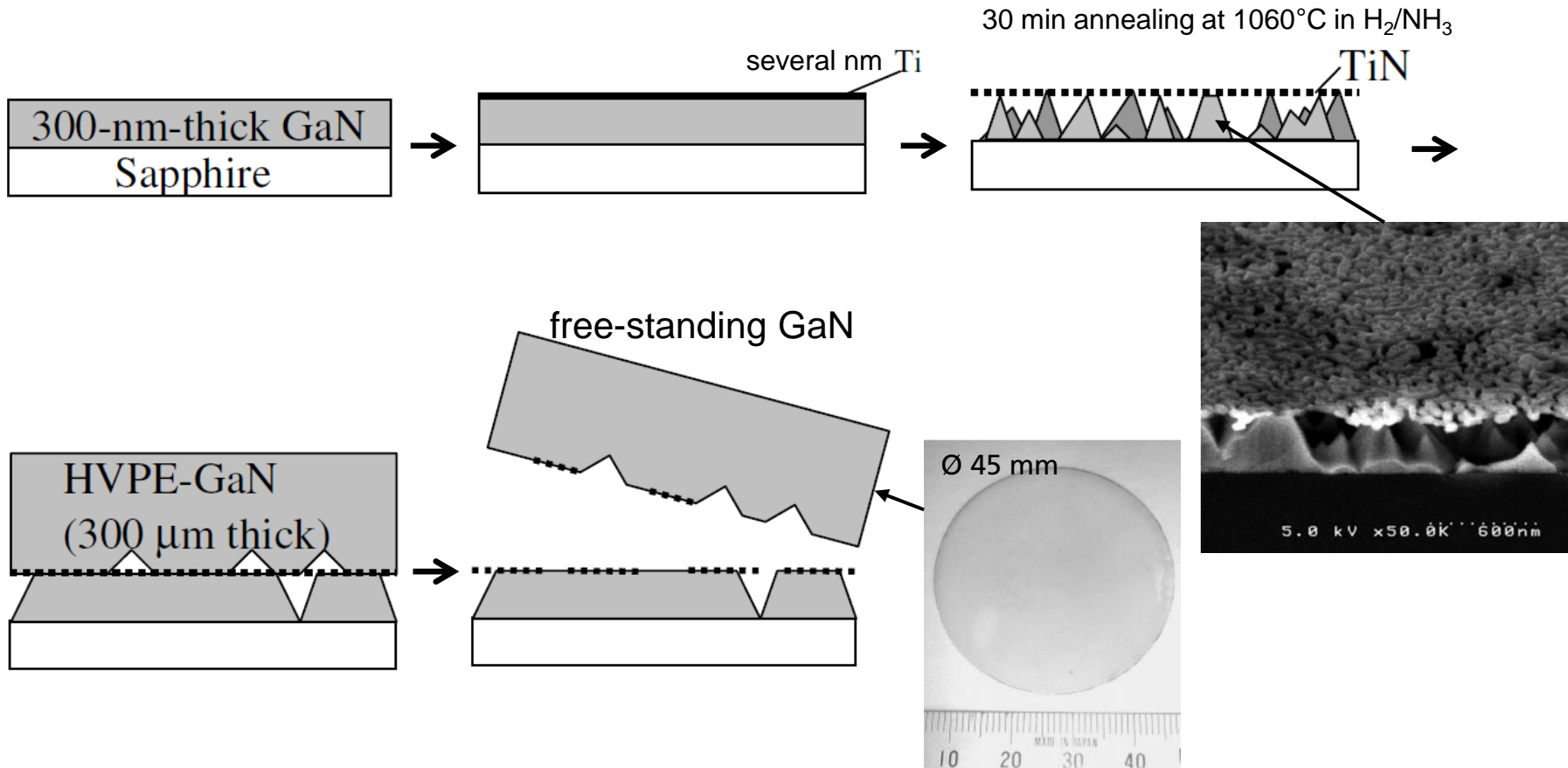
- Reduction of the threading dislocation density with an increasing layer thickness
- Crystal multiplication is not reached



*J. Jasinski & Z. Liliental-Weber, Journal of Electronic Materials 31 (2002) 429*

# HVPE: single substrate development

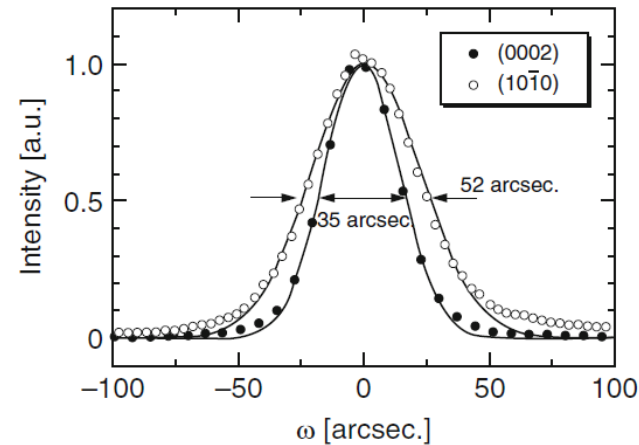
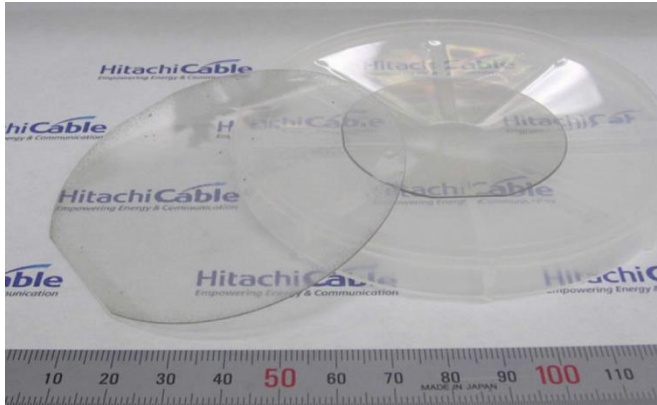
- Void-assisted separation (Hitachi Cable)



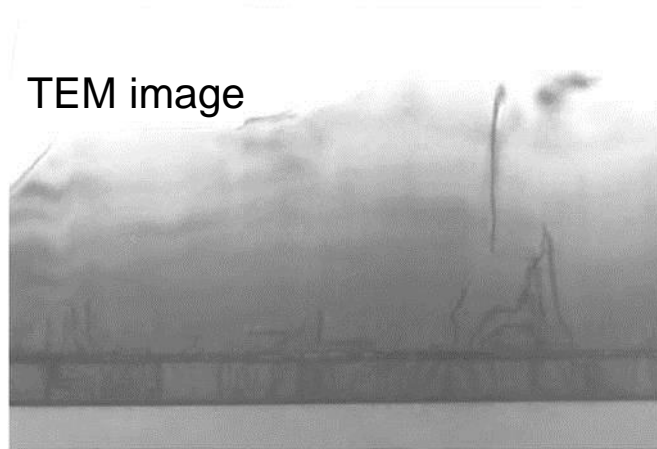
Y. Oshima et al., *phys. stat. sol. (a)* 194 (2002) 554–558

# HVPE: single substrate development

- Void-assisted separation: material quality



FWHM of  $\omega$ -scan



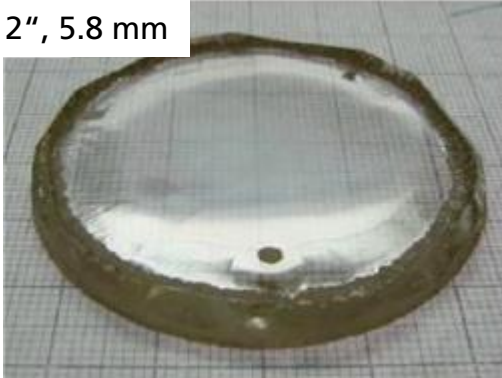
TDD:  $10^{10} \text{ cm}^{-2}$  (template)  $\rightarrow 10^6 \text{ cm}^{-2}$  (CL, EPD)

← TiN layer

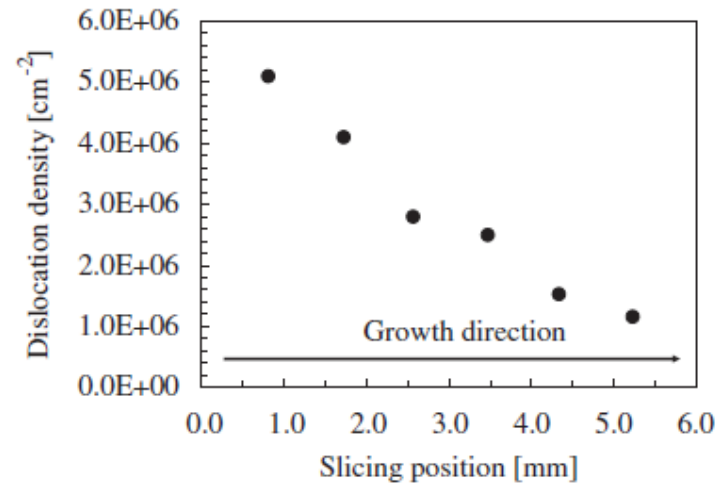
Y. Oshima, Ch. 4, Technology of GaN crystal growth, 2010

# HVPE: boule growth development

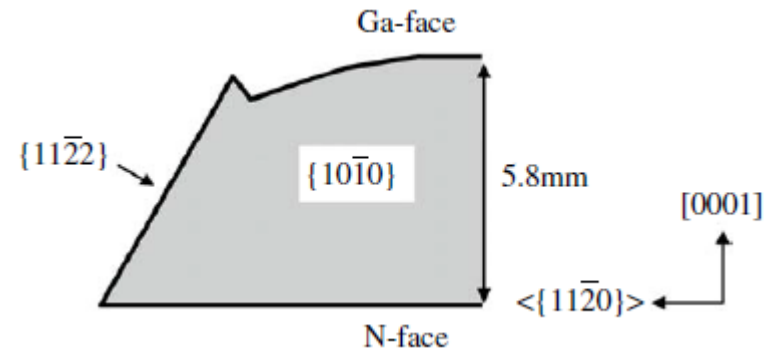
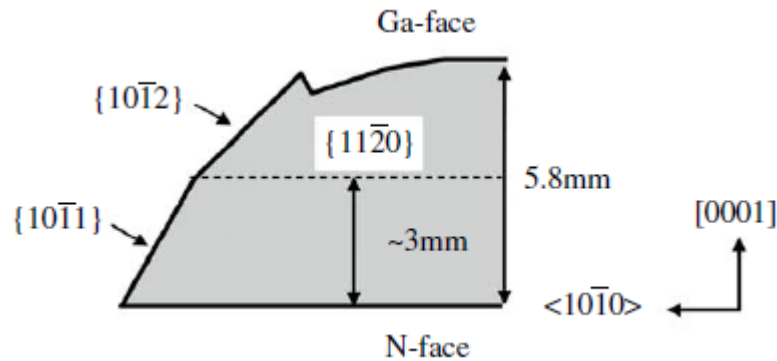
2", 5.8 mm



Fujito et al., *Journal of Crystal Growth* 311 (2009) 3011 (Mitsubishi Chemical)



FWHM  $\omega$  scan: (002) and (102) ~ 30 arcsec



- Growth on c-face: faceting and reduction of crystal diameter



# HVPE: process impurities

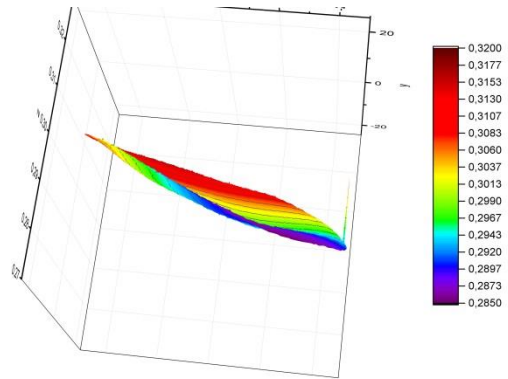
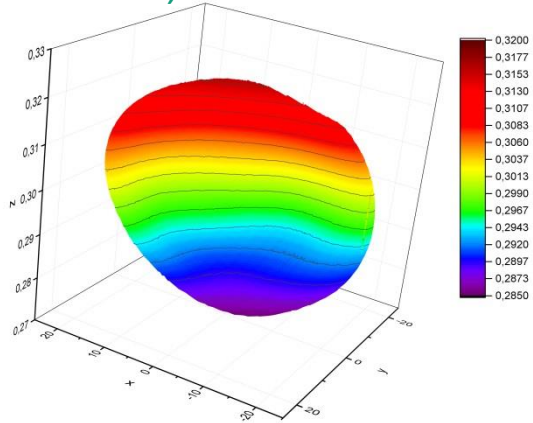
|    | Undoped GaN          | Detection limits $\text{cm}^{-3}$ |
|----|----------------------|-----------------------------------|
| Si | $1.5 \times 10^{17}$ | $8 \times 10^{15}$                |
| O  | $< 7 \times 10^{15}$ | $7 \times 10^{15}$                |
| C  | $< 2 \times 10^{15}$ | $2 \times 10^{15}$                |
| H  | $< 2 \times 10^{16}$ | $2 \times 10^{16}$                |
| Cl | $< 5 \times 10^{14}$ | $5 \times 10^{14}$                |
| Fe | $< 1 \times 10^{15}$ | $1 \times 10^{15}$                |
| Ni | $< 3 \times 10^{15}$ | $3 \times 10^{15}$                |
| Cr | $2.2 \times 10^{14}$ | $1 \times 10^{14}$                |

- Much less incorporated impurities than in ammonothermal process
- Si from fused silica is usually the main contamination
- The material choice is limited due to corrosivity of HCl,  $\text{NH}_3$  and  $\text{H}_2$

*Fujito et al., Journal of Crystal Growth 311 (2009)  
3011 (Mitsubishi Chemical)*

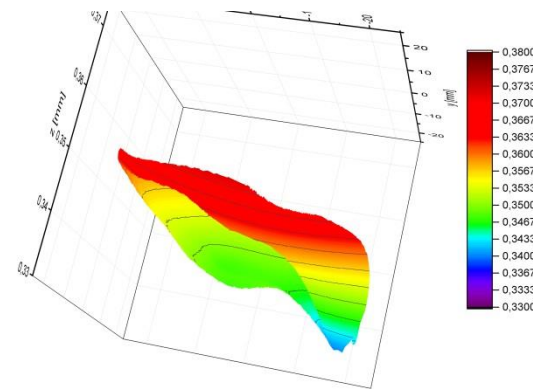
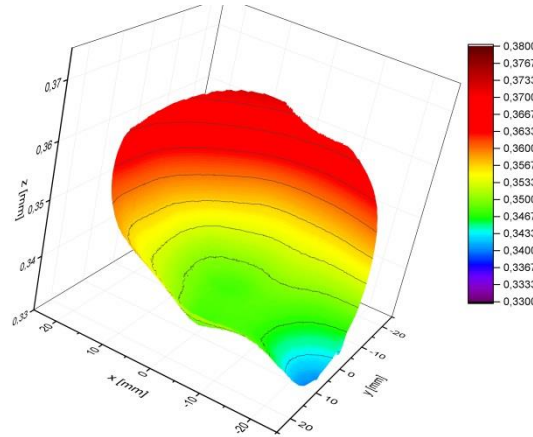
# HVPE: geometry of 2" substrates

A: Single wafer process  
(not VAS)



Bow:  $\sim 4 \mu\text{m}$   
Warp:  $\sim 4 \mu\text{m}$   
TDD:  $\sim 1 \cdot 10^7 \text{ cm}^{-2}$

B: Bulk growth + wafering



Bow:  $\sim 13 \mu\text{m}$   
Warp:  $\sim 13 \mu\text{m}$   
TDD:  $\sim 1 \cdot 10^6 \text{ cm}^{-2}$

Bow values comprise  
also lattice bow due to  
the growth stress

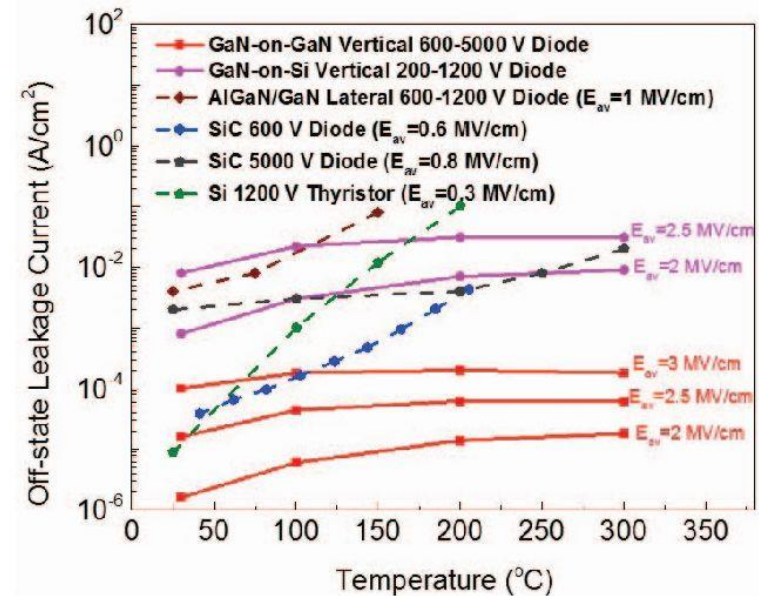
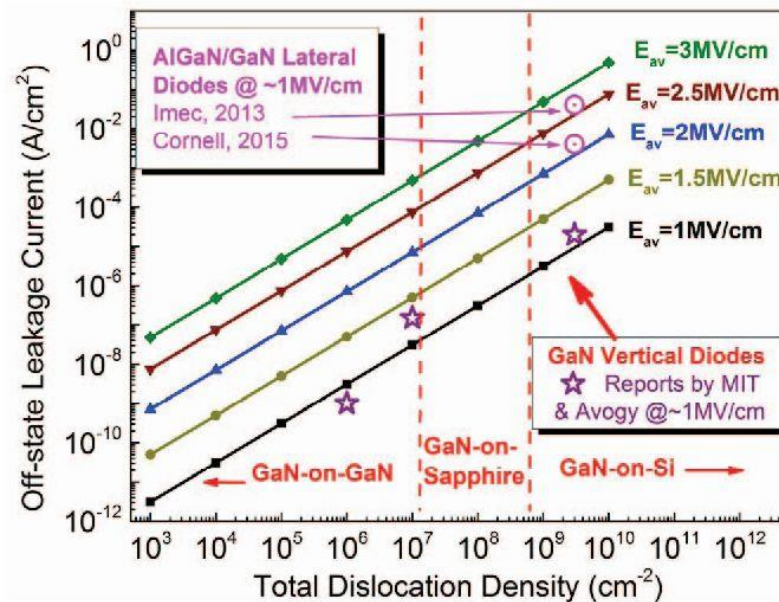
## HVPE vs. ammonothermal GaN substrates

|                            | HVPE                          | Ammonothermal  |
|----------------------------|-------------------------------|----------------|
| Seeds                      | foreign                       | native         |
| Point defect concentration | low                           | high           |
| TDD [cm <sup>-2</sup> ]    | $1 \cdot 10^7 - 1 \cdot 10^6$ | $5 \cdot 10^4$ |
| Diameter                   | 2", 3 – 4" in development     | up to 2"       |
| Warp, bow, TTV             | Comparable ↔ Wafering         |                |

- Native GaN substrate technology is still evolving

# Homoepitaxially grown electronic devices

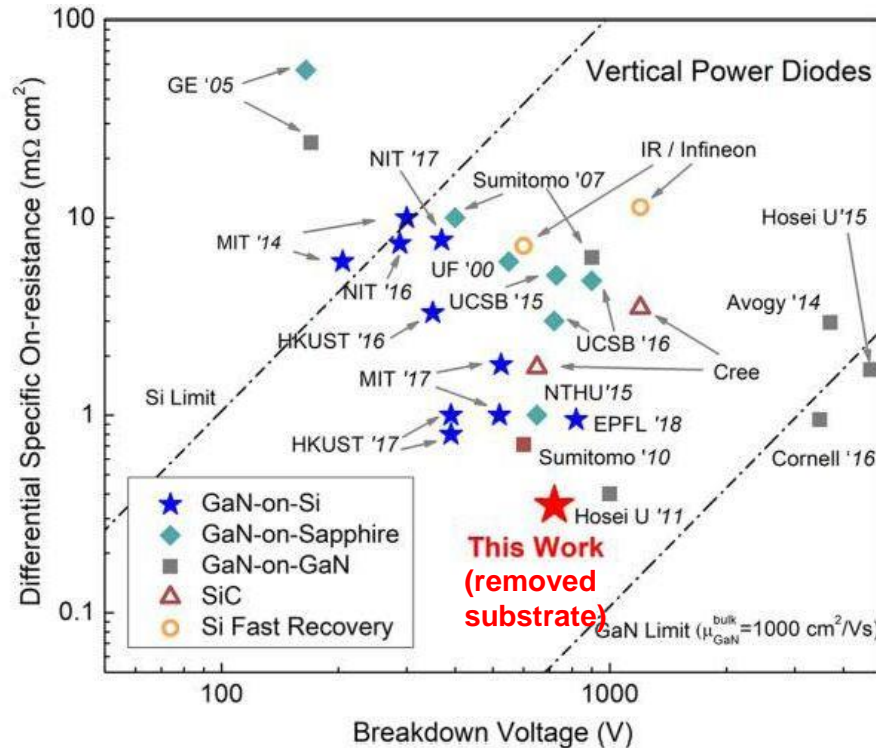
- Lower off-state leakage current in vertical devices



Zhang et al., IEEE International Electron Devices Meeting (IEDM), 2015

# Homoepitaxially grown electronic devices

- Lower specific on-resistance and higher breakdown voltage of GaN-on-GaN substrates



requirements for  
automotive  
applications:  
1200V – 50A,  
650V – 200A

Zhang et al., IEEE Electron Device Letters 39 (2018) 715

# Conclusions

- Despite of success of heteroepitaxial GaN based devices, defects and stress limit their performance and reliability
- Ammonothermal growth and HVPE are currently main techniques for fabrication of GaN bulk crystals and substrates
- Native GaN substrate technology is still evolving
- GaN-on-GaN devices show significant advantages, but the development is slow due to the high costs and limited availability of native substrates